



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98,385-E)

In the Application of:	)	
	)	
Hauptmann, et al.	)	Before the Examiner:
	)	
Serial No. 09/525,998	)	
	)	Group Art Unit: 1646
Filed: March 15, 2000	)	
	)	
For: TNF Receptors, TNF Binding Proteins and	)	
DNAs Coding for Them	)	

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**MAILED APRIL 4, 2000**

Box MISSING PARTS  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

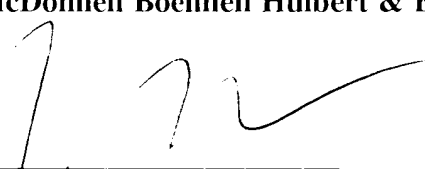
Responsive to the Notice to File Missing Parts of Application, mailed May 23, 2000, Applicants submit herewith a copy of the executed Declaration filed in parent application serial number 07/511,430, pursuant to 37 C.F.R. §§1.63 and 1.68. Also attached is a copy of the Revocation and Appointment of New Power of Attorney filed in parent application serial number 08/383,676.

A check in the amount of \$130.00 accompanies this Response.

Respectfully submitted,  
**McDonnell Boehnen Hulbert & Berghoff**

Date: June 12, 2000

By:

  
\_\_\_\_\_  
Kevin E. Noonan  
Reg. No. 35,303

JUN 16 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98,385-E)

PATENT

Receipt

In the Application of:

Hauptmann, et al.

Serial No.: 09/525,998

Filing Date: March 15, 2000

For: TNF Receptors, TNF Binding Proteins And  
DNAs Coding For Them

Group Art Unit: 1646

TRANSMITTAL LETTER

Asst. Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Processing Division  
Customer Correction Branch

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:

- a) Request for Corrected Filing Receipt;
- b) Copy of Filing Receipt with changes noted thereon;
- c) Postcard.

2. With respect to fees:

- a) No fee is required.
- b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Asst. Commissioner for Patents, Washington, D.C. 20231 on June 12, 2000.

Date: 12 June 2000

Respectfully submitted,

Kevin E. Noonan

Registration No. 35,303

JUN 16 2000

PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98,385-E)

In the Application of:

Hauptmann, et al.

Serial No.: 09/525,998

Filing Date: March 15, 2000

For: TNF Receptors, TNF Binding Proteins And  
DNAs Coding For Them

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Group Art Unit: 1646

REQUEST FOR CORRECTED FILING RECEIPT

Asst. Commissioner for Patents  
Washington, D.C. 20231  
Attention: Application Processing Division  
Customer Correction Branch

Dear Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above-referenced application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is incorrectly entered.

**Error In:**

Foreign Applications: GERMANY P 39 13 101.7 04/21/1989  
GERMANY P 39 20 282.8 06/21/1989  
GERMANY 90106624.1 04/06/1990

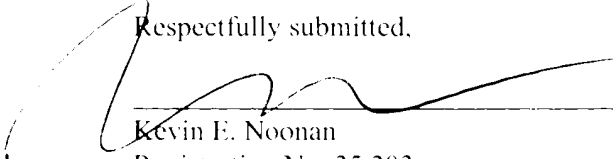
**Correct Data:**

Foreign Applications: GERMANY P 39 13 101.7 04/21/1989  
GERMANY P 39 20 282.8 06/21/1989  
EUROPE 90106624.1 04/06/1990

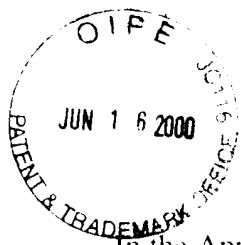
3. The correction is not due to any error by applicant and no fee is due.

Date: June 12, 2000

Respectfully submitted,

  
Kevin E. Noonan  
Registration No. 35,303

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98,385-E)

In the Application of:

Hauptmann, et al.

Serial No. 09/525,998

Filed: March 15, 2000

For: TNF Receptors, TNF Binding Proteins and  
DNAs Coding for Them

Before the Examiner:

Group Art Unit: 1646

TRANSMITTAL LETTER

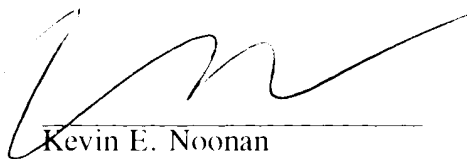
Box MISSING PARTS  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In regard to the above-identified application:

1. We are transmitting herewith the attached
  - a. Response to Notice to File Missing Parts of Application
  - b. Copy of Executed Oath or Declaration
  - c. Copy of Revocation and Appointment of New Power of Attorney
2. With respect to additional fees:
  - a. An additional fee of \$130.00 is required.
3. A check in the amount of \$130.00 is enclosed.
4. Please charge any deficiency in the amount due, or credit any overpayment, to Deposit Account No. **13-2490**. A duplicate copy of this sheet is enclosed.
5. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 herein-above, are being deposited with the United States Postal Service with sufficient postage as "First Class Mail" in an envelope addressed to: Asst. Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on this **12th** day of **June, 2000**.

By:

  
Kevin E. Noonan  
Reg No. 35,303

## FILING RECEIPT



\*OC00000005134111\*

JUN 16 2000

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/525,998	03/15/2000	1646	1188	98.385-E	22	26	8

McDonnell Boehnen Hulbert & Berghoff  
300 South Wacker Drive  
Chicago, IL 60606

DOCKETED

MAY 26 2000 Filing OL  
IDS-6-15-00, 3/15/01  
DUE DATE: \_\_\_\_\_  
BY: *MB*

Date Mailed: 05/23/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Rudolph Hauptmann, Residence Not Provided;  
Adolf Himmler, Residence Not Provided;  
Ingrid Maurer-Fogy, Residence Not Provided;  
Christian Stratowa, Residence Not Provided;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/383,676 02/01/1995  
WHICH IS A CON OF 08/153,287 11/17/1993 ABN  
WHICH IS A CON OF 07/821,750 01/02/1992 ABN  
WHICH IS A DIV OF 07/511,430 04/20/1990 ABN

## Foreign Applications

GERMANY P 39 13 101.7 04/21/1989  
GERMANY P 39 20 282.8 06/21/1989  
GERMANY 90106624.1 04/06/1990

If Required, Foreign Filing License Granted 05/22/2000

## Title

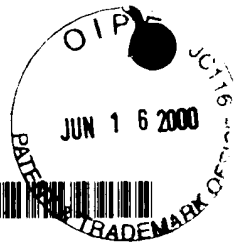
TNF receptors, TNF binding proteins and DNAs coding for them

## Preliminary Class

## FORMALITIES LETTER



\*OC000000005134112\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/525,998	03/15/2000	Rudolph Hauptmann	98.385-E

McDonnell Boehnen Hulbert & Berghoff  
300 South Wacker Drive  
Chicago, IL 60606

Date Mailed: 05/23/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/19/2000 JADD01 00000017 09525998

01 FC:105

130.00 DP